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Global environmental change and the dynamic challenges facing food security policy in Southern Africa

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1 **Global environmental change and the dynamic challenges facing food security policy in**
2 **Southern Africa**

3
4
5 **Abstract**

6
7 Food insecurity in Southern Africa is increasing. Key indicators are the rising levels of
8 chronic and severe malnutrition and rates of stunting in children. There is growing concern
9 that Global Environmental Change (GEC) will exacerbate the stress on Southern African
10 food systems leading to increased food insecurity in the region. The situation is further
11 exacerbated by insufficient understanding on how the region's food systems currently
12 operate, in which ways they are vulnerable to GEC and what types of adaptation options are
13 most likely to be viable at present and in the future. This paper identifies key research
14 challenges to food system vulnerability and the impacts of GEC; policy and technical
15 adaptation options; and possible consequences of different adaptation pathways. These need
16 to be set in the context of regional socioeconomic and environmental conditions. Initially, a
17 better understanding of how the region's food systems operate and the policies that affect
18 them is needed. This will provide a foundation for identifying and assessing the when and
19 how food systems are vulnerable to the additional potential stresses that GEC will bring, and
20 thereby for exploring possibilities to strengthen their resilience. Further research issues
21 revolve around the interrelationship of consequences of policies set at regional and national
22 scales.

23
24
25 Key words: Southern Africa; food security; GEC; policy formulation; food systems

26 **1. Background and objectives of the paper**

27

28 Global environmental change (GEC) is happening. Human activities, including those related
29 to the production, supply and consumption of food, are partly responsible for changing the
30 world's climate and giving rise to other, globally- and locally-important environmental
31 changes. These include changes in freshwater supplies, carbon and nitrogen cycling,
32 biodiversity, and land cover and soils (Vitousek et al., 1997; Steffen et al., 2004).

33

34 There is growing concern that GEC will further complicate achieving food security,
35 particularly for more vulnerable sections of society (Fischer et al., 2001; Rosegrant and Cline,
36 2003; Parry et al., 2004). There is also concern that agricultural growth required to meet
37 society's rising demand for food will further degrade the environment (Tilman et al., 2001;
38 Bruinsma, 2003), and that this will, in turn, further undermine food systems and destabilise
39 long term food security. These concerns are particularly pertinent to Southern Africa where
40 food insecurity is already a major issue and projected impacts of GEC are particularly severe
41 (Arntzen et al., 2004).

42

43 The objectives of this paper are two-fold. First, it highlights how GEC is adding additional
44 stress to Southern Africa's currently fragmented, fragile and under-developed regional food
45 systems, thereby further undermining regional food security. Second, the paper argues for the
46 adoption and implementation of a stronger and wholesome SADC-wide regional food policy
47 strategy as key to the vulnerability of the region's food systems to GEC and thereby
48 minimising the prospects for chronic regional food insecurity. This is especially needed given
49 the absence of an integrated regional strategy to enhance the prospects for regional food
50 security in the context of GEC.

51

52

53 **2. Food security in Southern Africa**

54

55 Southern Africa has suffered from a persistent problem of recurring regional food insecurity
56 for many decades. Historically this has been driven by a complex interaction of social,
57 economic and physical factors such as rapid population growth; declining per capita food and
58 agricultural production; the poor state of rural infrastructure; failures in domestic
59 macroeconomic and agricultural policies, national and regional institutional rigidities;
60 political instability; widespread poverty; and pronounced climatic variability. In recent years,
61 regional food insecurity has risen further due to a combination of these traditional factors
62 compounded by a set of new stress variables that have had a confounding effect on food
63 security at all levels. These include the HIV/AIDS pandemic, weak national systems of
64 governance in the region's emerging democracies, inequitable distribution of land resources
65 and premature globalization of regional food systems.

66

67 *The current Southern Africa food security situation*

68

69 The key indicators for the rising food insecurity situation in Southern Africa are the rising
70 levels of chronic and severe malnutrition and rates of stunting in children (SADC-RVAC,
71 2005; UNICEF, 2006). Additionally, six of the nine Southern African countries are classified
72 as "medium" to "low" in terms of the Human Development Index, while eight are listed
73 among the poorest 20 out of all developing countries in terms of the Human Poverty Index
74 (UNDP, 2005). The number of countries in Southern Africa classified as 'food surplus' has
75 declined over the last decade. This trend is not surprising as *per capita* food production has

76 stagnated in most SADC countries and even declined in Lesotho, Zambia, Malawi and – most
77 recently – Zimbabwe and regional dependence on food aid is increasing (Arntzen, et al.,
78 2004). Five of the 14 SADC countries are presently included in the current UN World Food
79 Programme’s Protracted Relief and Recovery Operation, with a total of 8.2 million people
80 receiving food aid in January 2006 (WFP, 2006b).

81
82 While the region has experienced a modest slow-down in population growth from above 3%
83 per annum in the 1970s and 1980s to an average of 2.7% per annum in the 1990s – due in
84 part to the rise in HIV/AIDS-related deaths and successful family planning efforts –
85 population growth continues to out pace the modest 2% annual growth in food production
86 (Bänziger and Diallo, 2001). While this net decline in per capita food production is partly met
87 through commercial imports and food aid, in many cases the vulnerable populations of
88 Southern Africa is simply eating less than the recommended caloric intake for a healthy
89 lifestyle. Poor economic performance confounds the food security situation of these Southern
90 African countries as they cannot afford commercial imports to fully offset shortfalls in
91 domestic food production. It is meanwhile becoming increasingly difficult for the WFP and
92 humanitarian organisations to secure adequate volumes of food aid to fill the gap between
93 food security requirements and aggregate food availability in African countries experiencing
94 famine. In 2004, famine-hit Southern African countries were only receiving 60% to 80% of
95 official humanitarian requests (Mano et al., 2003). In 2006, the Southern African region’s
96 request for 2 million tons of food aid has had lukewarm response from traditional partners as
97 donor fatigue appears to have hit Western countries increasingly overwhelmed by the rise in
98 global humanitarian disasters.

99
100 The period 2002-2003 saw the worst food crisis in Southern Africa since 1992. The 1992
101 crisis was mainly due to drought. While drought certainly also played a role in 2002, the
102 crisis was significantly deepened by a number of other factors which grew more prominent
103 over the decade including structural imbalance, poor governance, socioeconomic decline and
104 HIV/AIDS. Poverty and food insecurity are now on the increase in Southern Africa, seriously
105 compounded by a worsening HIV/AIDS situation. This has resulted from a range of
106 “entangling crises” (Devereux, 2003; de Waal and Whiteside, 2003; Lambrechts and Barry,
107 2003; Zimbabwe-VAC, 2003; DFID/RHVP, 2004). In general, while the food crisis of 2002-
108 2003 was triggered by the unavailability of food, the problem has been recognized to be more
109 of access and entitlements for most of the affected people (DFID/RHVP, 2004).

110
111 Current food availability estimates continue to indicate better regional food availability
112 compared to the 2004/05 consumption period. However, recently-completed household-level
113 vulnerability assessments in the countries adversely affected by the poor performance of the
114 rainy season reveal widespread levels of food insecurity among vulnerable groups. The total
115 number of food insecure people requiring humanitarian assistance in the region is estimated
116 to be over 10 million people: 5.0 million in Zimbabwe, 2.5 million in Malawi, 1.0 million in
117 Zambia, 800 000 in Mozambique, 550 000 in Lesotho; 245 000 in Swaziland, 111 000 in
118 Namibia, 1.6 million in Angola (WFP, 2006a). Analyses by national Vulnerability
119 Assessment Committees (VACs) point to growing levels of poverty, exacerbated by the
120 effects of HIV/AIDS, as the main cause of chronic vulnerability across the region. These
121 findings have prompted national governments and humanitarian agencies to look beyond
122 short-term responses to the food crisis and to develop alternative interventions responding to
123 short-term needs while addressing the longer-term issues in the region.

124
125

126 *The current institutional and policy response*

127

128 The response to the food crisis in Southern Africa has been constrained by weaknesses of
129 both national, regional and international institutions. At the international level, agencies have
130 responded late to the food crisis mainly through food aid, despite the evidence of a
131 livelihoods crisis and the need for an integrated response (Drimie, 2004; Mano et al., 2003).
132 At national level, Vulnerability Assessment Committees (VACs), originally established to
133 provide strategic intelligence understanding of the national food security situation in the
134 region, have instead focused their efforts primarily on assessing current food security
135 emergencies and planning the humanitarian response. Thus, there has been very little
136 strategic policy analysis on ways of stimulating food availability and improving access to
137 food for the poor. Under-informed governments of Southern Africa have developed atomistic
138 national food security and famine response policies based on the premise that domestic food
139 crises are primarily caused by local droughts. Thus the overall regional food policy response
140 to the regional food crisis has been inadequate and incomplete, essentially consisting of
141 consolidated regional requests for humanitarian assistance to avert famine while nations
142 essentially wait for the return of good rains.

143

144 Given that coordinated development was the founding principle of SADC (in 1984), it is
145 ironic that policy coordination and development cooperation remains elusive in the regional
146 community. The SADC region still lacks a consolidated regional policy framework for
147 coordinated development of a regional food and agricultural production, distribution and
148 trade system which would take advantage of SADC's environmental diversity and economic
149 potential. Although SADC countries ratified a free trade protocol more than ten years ago, the
150 regional body ran short of political will to implement it. Yet regional trade studies
151 commissioned by the secretariat and international financial partners show that free regional
152 trade would significantly enhance regional economic growth and regional food security by
153 eliminating localized climatic and market risks (COMESA, 2003; FAO, 2003a; FANRPAN,
154 2004; IFPRI, 2004; Imani, 2004). In recent years, SADC with technical aid from its
155 international partners has made some progress in developing regional policy action plans to
156 address the region's massive food security challenges. While this progress offers hope for
157 meeting some of the Millennium Development Goals (MDGs), political will to implement
158 these bold policy declarations continues to lag far behind. For instance, the Lagos Declaration
159 and SADC Plan of Action from the 2004 SADC Summit on Agriculture and Food Security¹
160 designed to bring about accelerated development of agriculture and ensure food security by
161 committing 10% of the national budgets to agricultural development has not been
162 implemented by most of the many governments who signed the declarations.

163

164 Environmental aspects are however higher on the agenda. The SADC 15-year Regional
165 Indicative Strategic Development Plan (RISDP) of 2004 emphasises sustainable food security
166 and environmentally-sustainable development as key aspects. This latest generation of SADC
167 regional policy plans reflect a paradigm shift towards heightened awareness within the SADC
168 Secretariats of environmental dimensions of sustainable development and long term strategies
169 for combating food insecurity in the region. To be effective, however, the policy declarations
170 must be translated into policy action plans whose implementation is – and remains – feasible
171 and optimal under a dynamic environment of changing social, economic, political and
172 climatic conditions circumscribing the region's food security situation. A critical examination

¹ SADC Heads of State and Government, Extra-ordinary Summit on Agriculture and Food Security, Dar-es-Salaam, 15th May 2004

173 of current food system of Southern Africa shows a poorly-integrated food production and
174 marketing system already constrained by incomplete regional food market institutions, a
175 highly concentrated and poorly distributed density of transport and communication, storage
176 infrastructure. The prospect of climate change shifting the geographical distribution of
177 agricultural and food production potential raises the question of whether the region's skewly-
178 distributed and poorly developed infrastructure will be able to cope.

179
180

181 **3. Southern African Food Systems**

182

183 Food security is underpinned by food systems. Food security is the state achieved when food
184 systems operate such that 'all people, at all times, have physical and economic access to
185 sufficient, safe, and nutritious food to meet their dietary needs and food preferences for an
186 active and healthy life' (FAO, 1996). Food security is diminished when food systems are
187 stressed. This can be caused by a range of factors in addition to GEC (eg conflict, declining
188 per capita investment expenditure in agricultural research and development, anticipated
189 changes in global food market situations and trade protocols, current HIV/AIDS, tuberculosis
190 and malaria epidemics). These factors pose a particularly severe threat to food security when
191 they act in combination, as is now the case in Southern Africa.

192

193 Food systems encompass two main aspects (Ericksen, 2006). *Activities*, which relate to the
194 production, processing, distribution, preparation and consumption of food; and *outcomes* of
195 these activities, which contribute to the three components of food security: availability of
196 food; access to food; and food utilisation. Interactions between and within the bio-
197 geophysical and the human environments influence both activities and outcomes of the food
198 system. Both aspects are underdeveloped and have limited resilience in Southern Africa and
199 warrant policy attention. An examination of the major features of the region's food systems
200 helps to identify both where GEC will likely have an impact and where policy and/or
201 technical options can help overcome constraints.

202

203 *Food production*

204

205 Producing food is clearly a fundamental agricultural and industrial activity contributing to
206 food security outcomes. In strategic terms, two categories of agricultural producers are
207 commonly distinguished within the region. There is a minority population of modern, highly
208 sophisticated commercial farmers, producing a diversity of market-oriented crops and
209 livestock purely to maximise their profits. Since profit maximisation also necessitates
210 minimisation of costs, the African commercial farmers apply the best available agricultural
211 technologies and choose to produce a mixture of food and non-food commodities offering
212 highest returns to their land and capital resources. The majority of the agricultural producers
213 in Southern Africa are however poor, indigenous populations practicing semi-subsistence
214 agriculture driven in their farm operations by a desire to secure a satisfactory and secure
215 livelihood. Thus their farming system is dominated by food crops and traditional, long-
216 established production technologies and practices that are adapted to maximize average
217 yields and survival under local climatic conditions. The indigenous farming population has
218 long demonstrated a willingness to diversify and expand production of surplus food for the
219 national and regional markets in response to market incentives and provided that such
220 commercial ventures help mitigate reduce risks and increase livelihood security.

221

222 Key characteristics of each category are summarised in Table 1. The numbers of commercial
223 producers in most Southern African countries is small, but they make a substantial
224 contribution to total production. In contrast, there are many subsistence producers, but their
225 scale of operations is relatively small. The advantages from which commercial producers
226 historically benefited (such as subsidies, cheap water and labour) are now being abolished. In
227 Zimbabwe land re-distribution policies have resulted in a significant reduction of commercial
228 farmers.

229

230 *[Table 1 : Key characteristics of subsistence and commercial producers.]*

231

232 In terms of regional food crop production, maize clearly dominates (81% of production)
233 followed by wheat (8.3%), sorghum and millet (7.4%), and rice (3.4%). No major shift in
234 crop choice is apparent from the data for the period 1990-2003, but the share of maize is
235 increasing slowly, mostly at the expense of wheat production. Production obviously
236 decreases during droughts, but overall production shows a cautiously upward trend: the
237 average annual production rose from 21.8 million tonnes in the period 1990-96 (excluding the
238 92/93 drought) to 22.5 million tonnes in the period 1997-2003. There are however marked
239 national differences. The total potential agricultural area in Southern Africa is heavily under-
240 utilised, with only 20% of the suitable land under cultivation. The irrigation sector is small in
241 most countries (except for South Africa and Zimbabwe) and only covers 4% of cultivated
242 land (Stilwell, 2000). Livestock is a major production activity in the region, totalling 0.4 Mt
243 in 2004 (FAOSTAT, 2005), and contributes to subsistence systems across the region and also
244 to the national economies of South Africa, Botswana, Namibia and Lesotho. Fisheries are
245 also important with 2.6 and 0.7 Mt landed by SADC countries in 2003 for marine and
246 freshwater fisheries, respectively (FAOSTAT, 2005).

247

248 In most years, the region should be self sufficient in grain production as production exceeds
249 the 'normal' consumption estimated of about 26 million tonnes (2004/2005 SADC estimate).

250

251 *Food distribution: road and rail*

252

253 An effective regional food distribution system is critical for alleviating food insecurity
254 whenever local production cannot meet demand. The recent famine situation in inland
255 countries of Zimbabwe, Swaziland, Lesotho, Zambia and Malawi exposed the capacity
256 limitations of the region's transport, warehousing and port facilities. The two principal
257 transport networks in Southern Africa are road and rail.

258

259 The overall trunk transport network in the region can be characterised into 6 transport
260 corridor groups comprising a set of coastal seaports linking into the hinterland through rail
261 and road networks. The distances involved from seaports to the hinterland cause major
262 complications to food distribution in the region (see Table 2). Furthermore, in all cases there
263 are a number of transshipment points, e.g. the use of ferries between Namibia and Zambia at
264 Katima Mulilo in the Caprivi Strip, transshipment of cargo between the Tanzania-Zambia
265 Railway Authority (TAZARA) and Tanzania Rail Corporation due to differences in rail
266 gauges, rail to road transshipments in Harare for cargo destined for Malawi, etc. These cause
267 additional stressors to the already poor food transport networks.

268

269 *[Table 2. Major Southern African food transport corridors. Derived from SADC-FSTAU*
270 *1993]*

271

272 The scattered pattern of rural settlements in much of the region leads to a low density of road
273 networks, ranging from 0.01 - 0.47 km of road per km². This is far below the 0.30 - 0.45 km
274 per km² in many Asian countries (Stilwell, 2000) and Zimbabwe and South Africa are the
275 only countries with road densities similar to the Asian averages. In Mozambique and Zambia,
276 for example, the road network is so poorly developed that it is more efficient to import food
277 through the main road and rail trunks than to move food within the country.

278
279 The 36 000 km railway network in Southern Africa spans all countries except Lesotho and
280 constitutes over 70% of all Africa's railways. The railway conveys over 200 million tonnes of
281 traffic per year although its estimated capacity is 350 million tonnes per year (Mlenga, 2003).
282 Competition between road and rail transport has become a major issue during the past few
283 decades. The development of modern road vehicles, and in particular, large truck-tractor and
284 trailer combinations, has brought the rail mode of transport into disfavour by many transport
285 users who require ever-faster services and often rely on just-in-time delivery principles to
286 keep warehouse stocks low and minimize cash up-front interest charges (RASS, 2006). This
287 will have a significant bearing on the ability to move food quickly around the region in times
288 of stress, especially if road infrastructure is weakened due to weather extremes.

289 *Food storage and grain reserves*

291
292 Generally, most grain handling and storage facilities are located on the main lines of rail and
293 road networks with little coverage in the remote and rural areas of most Southern African
294 countries. In 1993 these grain storage facilities in SADC countries were estimated at 8.5
295 million tons (SADC-FSTAU, 1993). A comparison of the storage capacity with production
296 estimates and consumption figures shows that most SADC countries have inadequate storage
297 capacities yet the situation has not changed significantly since the 1992-93 crisis due to little
298 additional capital expenditure in storage infrastructure. This is despite the fact that the private
299 sector is now providing storage facilities following market liberalisation systems introduced
300 in most countries in the early- to mid-1990s.

301
302 An attempt has been made by the region to develop a regional food grain reserve to help
303 alleviate food insecurity, especially in drought years. Since the 1980s, SADC has considered
304 the establishment of a strategic food reserve to deal with the growing frequency of natural
305 disasters. Early proposals were based on considerations of enough physical maize stock for
306 12 months consumption. Despite this, most government reserves were at record low stocks at
307 the 2002/2003 marketing year (Mano et al., 2003). The SADC Council of Ministers have
308 recently agreed that the food reserve proposal should be re-visited and should include
309 consideration of both a physical reserve and a financial facility, supporting the notion of
310 enhanced intra-regional trade.

311 312 *Food imports and trade*

313
314 To supplement *in situ* food production, food imports at both regional and national levels
315 contribute significantly to food availability. The main imported grain is maize closely
316 followed by wheat and rice. Imports of sorghum and millet are very small, and confined to a
317 few countries (Botswana, Zimbabwe and South Africa). The costs of imports fluctuated
318 between 30-55 US\$ million in the period 1994-2003, but no trend is apparent. The import bill
319 during the 1992/93 drought exceeded US\$100 million.

320

321 Koester (1993) compared food production patterns in Malawi, Tanzania, Zambia and
322 Zimbabwe with the suitability of growing such crops and revealed a large difference in the
323 grain growing potential among the four countries. This again raises the question of intra-
324 country and inter-country trade in grains in Southern Africa. Trade has however been
325 hampered by national policies that promote self-sufficiency policies, lack of or poor transport
326 infrastructure and skewed pricing policies. Also adding to these problems are trade barriers
327 experienced between countries in the region. Koester concluded that grain production does
328 not only reflect local environmental conditions but also revealed preferences of policymakers
329 in each country (e.g. maize in Zimbabwe) as well as trade policies adopted by each country.

330

331 Informal cross-border trade continues to play an important role in filling some of the import
332 requirements, especially in Malawi which has so far received close to 75% of the total
333 amount of maize traded informally in the region (WFP, 2006b). Trade barriers and lack of
334 harmonisation of trading systems are a serious constraint to food movements across borders.
335 These constraints include different tariff structures, different physical transport requirements
336 e.g. axle weight restrictions and vehicle size restriction across borders. These, and other non-
337 physical constraints including a lack of a cohesive and harmonised set of trade policies,
338 exacerbate problems of food distribution.

339

340 *Food aid*

341

342 While regional and national production can potentially meet the bulk of regional food
343 security requirement, recent years have seen an increasing reliance on food aid especially
344 during periods of drought. For instance, the drought year of 1992/93 was devastating for
345 much of the region, resulting in 10.3 million tonnes of food being imported into the region of
346 which 31% was food aid. Since 1999 the amount of food aid imported ranged from 10 to 23%
347 of the total cereal imports. The region's current need for food aid is estimated at 446 000
348 tonnes for 9.2 million people by end of May 2006 (FEWSNet, 2005).

349

350 Where countries or consumers cannot afford to purchase food the need for food aid will
351 grow, and become more frequent as GEC accelerates. This trend is already discernable at the
352 local and national level. Two critical determinants of the success of food aid are the quality of
353 external response to food aid requests and the availability of adequate distribution and
354 communication networks within the region. In recent years, Southern Africa has failed to
355 secure adequate and timely response to official food aid requests with some countries
356 receiving as low as 60% of official requests (IRC, 2005) in 2005. Externally procured
357 deliveries of food aid have also clogged the limited port facilities in South Africa and
358 Mozambique as well as the inland rail and road transport system culminating in disruptions in
359 food aid supplies to famine hit populations.

360

361 *Food access and the increasing role of supermarkets*

362

363 Food security is governed not only by food availability but also by access to food. Often this
364 is closely linked to food affordability, which is in turn linked to both livelihoods and the basic
365 costs of food. Nine-tenths of maize produced in Sub-Saharan Africa goes directly for human
366 consumption (Bänziger and Diallo, 2001). The price of white maize (the preferred staple
367 food) on the South African Futures Exchange (SAFEX) stabilized in March 2005, but still
368 remained 45% below the November 2004 high point.

369

370 The last decade has seen a rapid rise of supermarkets in the region, proliferating beyond
371 middle-class big-city markets into smaller towns and poorer areas (Weatherspoon and
372 Reardon, 2003). They are transforming the food retail sector and, in South Africa, for
373 example, supermarkets already account for more than 55 percent of national food retail
374 (FAO, 2003b). Supermarkets are affecting the food system in two main ways. First,
375 supplying supermarkets presents both potentially large opportunities and big challenges for
376 producers as supermarkets' procurement systems involve purchase consolidation, a shift to
377 specialised wholesalers, and tough quality and safety standards. Making the necessary
378 investments and adopting new practices is hardest for small producers, who risk exclusion
379 from dynamic urban markets increasingly dominated by supermarkets. Second, supermarkets
380 are bringing about a change in consumption patterns in the region, with more choice being
381 made available and strong marketing campaigns usually promoting more processed
382 foodstuffs.

383

384 In summary, local food production, imports and aid are the main food sources. Economic
385 power determines food production and imports and the level of dependence on food aid. Two
386 systems dominates production, i.e. subsistence small-scale and commercial large scale
387 farming. Food production, particularly in communal areas has either declined or stagnated.
388 Food aid supports the poor and has a major role in periods of disasters. However, regardless
389 of sources of food, storage, handling and distribution are important part of the food system.
390 While the region has adequate grain storage facilities, distribution and communication
391 networks remain poor (Arntzen et al., 2004).

392

393

394 **4. Current and anticipated environmental conditions**

395

396 Are the region's food systems best adapted to make optimum use of the region's diverse
397 range of biophysical resources and environmental endowments? The domestic agricultural
398 policy biases have historically favoured the cultivation of import substitution high water-
399 demanding food crops (i.e. maize, wheat and rice) in the more drought-prone semi-arid
400 regions of Southern Africa at the expense of drought-tolerant small grains, roots and tubers.
401 Strategies for adapting food systems need to be considered in the context of current and
402 anticipated environmental conditions.

403

404 *Current environmental conditions*

405

406 Southern Africa has a diverse climate ranging from humid coastal zones to land-locked
407 (semi-) arid lands. It is marked by high intra-seasonal and inter-annual variability both of
408 which influence food systems in the region. Rainfall levels and variability vary enormously
409 within the region with the driest parts in the southern central to western parts of the region
410 and wetter conditions with more reliable rainfall towards the north. The highly variable
411 climate regime is due partly to the El Niño Southern Oscillation phenomenon (Tyson et al.,
412 2002). Severe droughts of the 1980s and 1995 (which led to a serious decline in food security
413 in the region) and the floods of 1999/2000 (which resulted in a decrease in GDP growth rate
414 of Mozambique from 10% to 2%) are good examples of such extreme events. Droughts of
415 various magnitudes occur as frequently as every 2-3 years in the driest parts covered by
416 Botswana and Namibia. Generally there is an east to west rainfall gradient in the region
417 linked to the warmer Indian ocean in the east resulting in relatively higher rainfall and the
418 cold Benguela current in the west accounting for the arid to semi-arid condition. Rainfall also

419 declines from the north in relation to the Inter-Tropical Convergence Zone (ITC) and the
420 Atlantic Ocean Air masses (Tyson et al., 2002; Scholes and Biggs, 2004).

421
422 Much of Southern Africa is covered by infertile soils due to a predominantly granitic geology
423 (Scholes and Biggs, 2004). The central parts of the region, stretching from the northern part
424 of South Africa, over most of Botswana to the Democratic Republic of Congo is covered by
425 the nutrient poor well-drained, aeolian Kalahari sands which are not suitable for crops with
426 high water demand such as maize. Pockets of fertile soils are limited to drainage networks
427 associated with the southern extension of the East Africa rift valley.

428
429 The region has several large river basins including the Zambezi (1.4 Mkm²) and Limpopo
430 (385 000 km²) (Sharma et al., 1996; Boroto, 2001). Seven out of the nine countries share
431 multiple international river basins (typically four to nine per country) and, given the growing
432 water demand, this has major implications for water governance and general catchment
433 management for food production activities (Sharma et al., 1996). The region has responded
434 by establishing the Shared Water Resources Protocol. At the basin level the focus is on
435 establishing joint water commissions and institutions to manage individual watercourses and
436 water development projects collaboratively. At the regional level, the protocol offers a
437 general framework for creating joint water-resource networks and policies based on both UN
438 Convention principles and existing regional institutions. SADC has provided the umbrella for
439 drafting the Water Protocol in the region (Scholes and Biggs, 2004).

440
441 The distinct dry and wet season climate of the region supports a variety of biomes
442 (grasslands, *miombo* woodlands, dry savanna, desert and arid shrubland vegetation, and
443 limited areas of wetlands, *fynbos* and forest) rich in wildlife resource that form an important
444 part of income generation and food systems of the region. In some countries a significant
445 proportion of the land is under wildlife conservation areas (e.g. 17% of the total surface area
446 in the case of Botswana; Moyo et al., 1993) and these are essential for the tourism industry.
447 The biodiversity of the region is high in both species and ecosystems types: The fynbos of the
448 Cape Floral Kingdom which occupies only 37 000 km² at the southern tip of Africa, has 7300
449 plant species, of which 68% occur nowhere else in the world and the adjacent Succulent
450 Karoo biome contains an additional 4000 species, of which 2500 are endemic (IPCC, Chapter
451 10-Africa, 2001).

452
453 *Anticipated GEC in Southern Africa*

454
455 Many climate change assessments conclude that Southern Africa will be significantly
456 affected by climate change: the region is expected to become warmer and drier with a
457 temperature increase of 2-5°C predicted over coming decades (Hulme et al., 2001; IPCC,
458 2001, Chapter 10-Africa). An increase in extreme events (both droughts and floods) is also
459 anticipated (IPCC, 2001; Tyson et al., 2002), as is reduced and increasingly variable rainfall
460 with a shift in the wet season for most of the land mass of the region occupied by Namibia,
461 Botswana and parts of Zimbabwe and South Africa (Scholes and Biggs, 2004).

462
463 In addition to climate change-related impacts, disturbances such as fire, and soil and range
464 degradation resulting from land use management are already widespread, with concomitant
465 loss of biodiversity (IPCC, Chapter 10 Africa, 2001). The rate of deforestation in the region
466 was estimated at 0.9% per year in 1993 (Yirdaw, 1996). Despite the fact that national
467 research institutions, in collaboration with CGIAR Centers and other international
468 programmes, have developed best bet natural resources management technologies

469 implemented through community based organizations, the rate of land degradation in many
470 Southern African countries is faster than the speed of technology adoption.

471
472 About 168 million hectares burn annually south of the equator and this amounts to 37% of the
473 dry biomass burnt globally (Goldammer and de Ronde, 2004). Southern Africa provides a
474 conducive environment for fire with the distinct dry and wet seasons; rhythms of drought
475 followed by wet years; rainfall marked by thunderstorms and lightening and extensive area
476 under the tropical savanna characterised by grassy under storey (Pyne et al., 2004). Results of
477 satellite-based fire monitoring reveals that more than half of Africa experiences a fire regime
478 with a frequency greater than once per decade (Kendall et al., 1997). Climate change is likely
479 to alter fire regimes with consequences for global and regional climate because emissions and
480 aerosols from vegetation fires in Africa constitute a significant contribution to the global
481 budgets (IPCC, Chapter 10 Africa, 2001).

482
483 Issues of water availability are also a growing concern, with the inter-annual variability of
484 hydrological regimes already much greater than the inter-annual variability in rainfall (Tyson
485 et al., 2002). The ratio of rainfall to evaporation in Southern Africa is the lowest in the world
486 (O'Keefe et al. 1992). Although the region has large underground water resources, much of
487 this is not suitable for consumption, as it would require expensive purification. Further, most
488 of the underground water is fossil resource and the estimated recharge for the region is at 1%
489 or less of the mean annual rainfall (Xu and Beekman, 2003) which is far below the extraction
490 rate. (Water conservation measures are largely non-existent in most parts of the region and,
491 where available, are not effectively applied.) Water shortages are already a constraint in the
492 region's more developed countries and the anticipated warmer and drier conditions will both
493 further reduce the water supply and increase demand (Scholes and Biggs, 2004; de Wit and
494 Stankiewicz, J., 2006). Sub-optimal catchment management is leading to increasing siltation
495 of water reservoirs and deltas with other downstream impacts on fisheries (e.g. in Lake
496 Malawi and Kariba Dam) and on sea port infrastructure (e.g. Beira in Mozambique) (Moyo et
497 al., 1993). Competition over water resources is increasing, for instance livestock versus
498 wildlife interests as seen in the Okavango Delta in Botswana (Dube, 2003).

499
500

501 **5. Vulnerability of Regional Food Systems to Global Environmental Change**

502

503 How vulnerable are the region's food system to GEC and to what extent are they elastic and
504 capable of adapting to anticipated GEC? Answering these questions needs analyses of both
505 how GEC will affect the region's food systems and the importance of these impacts. The
506 analysis must however start by recognising that environment is but one of many stresses on
507 the region's food systems. Rising poverty and food insecurity in Southern Africa
508 demonstrated that resource endowment is necessary but not sufficient condition for food
509 security and prosperity (Thomas and Twyman, 2005).

510

511 *Multiple stresses on food systems*

512

513 Over the past two decades there have been profound transformations in livelihood systems in
514 Southern Africa, set in motion by Economic Structural Adjustment Programmes, the removal
515 of agricultural subsidies and the dismantling of parastatal marketing boards (Bryceson and
516 Bank, 2000). Further, agriculture in Southern Africa therefore faces major challenges
517 including unfavourable international terms of trade, mounting population pressure on land,
518 and environmental degradation. These and other current and emerging stressors for Southern

519 African food systems can be divided into three major categories: (I) natural resources; (II)
520 physical infrastructure (i.e. man-made); and (III) institutional and other “human dimensions”
521 issues. These can be sub-divided into at least seven sub-categories: (i) environmental (e.g.
522 droughts, floods and other climate-related aspects, land degradation and desertification); (ii)
523 infrastructural (e.g. reduced investment in railways, port facilities, road networks, grain
524 storage facilities); (iii) institutional (e.g. poor regional cooperation); (iv) socio-cultural (e.g.
525 HIV/AIDS, unemployment); (v) economic (e.g.: price fluctuations, asset loss); (vi)
526 demographic (e.g. urbanisation); and (vii) political.

527
528 While the main emphasis of this paper is GEC, it is important to recognise that food systems,
529 and therefore the food security status they underpin, are exposed to multiple stresses in
530 addition to climate and other environmental changes. For example, in studies of household
531 food security in Southern Africa, climate/environment was only one of 33 drivers mentioned
532 as important by householders (Misselhorn, 2005). The mix of drivers varied across the region
533 but in all communities many interacting factors resulted in vulnerability to food shortages.
534 Overall, however, climate/environment was one of the seven factors influencing food security
535 that were frequently cited (see Figure 1), because of its role both as an on-going issue (57%
536 of cases where it was mentioned) and as a “shock” (43%). The impacts of sudden shocks
537 such as drought are therefore felt on top of ongoing long-term stresses. The region’s low
538 ability to cope with such shocks and to mitigate long-term stresses means that the
539 employment of coping strategies that might be available to others is at too high a cost or,
540 simply unavailable.

541
542 *[Figure 1: The seven most frequently cited drivers in 49 studies of household-level food*
543 *insecurity in Southern Africa.]*

544
545 Other issues come into play when looking at food security for the region as a whole. A good
546 example is the poor physical food distribution infrastructure (discussed above), which
547 increases vulnerability of the region’s food systems to GEC especially in times of food crisis.
548 Similarly, storage capacity is not capable of holding sufficiently large stocks to provide food
549 for the region when the next major drought occurs. With limited budgets, little policy priority
550 is given to the food systems physical support infrastructural systems. The availability, state
551 and condition of food systems physical support infrastructure for both the production and
552 distribution of food within national and across national boundaries in the region are in a poor
553 state. Issues of this type warrant serious attention by policy bodies mandated to work at
554 nation and regional scales.

555
556 *GEC as an important further stress affecting Southern African food security*

557
558 GEC and food security was identified as one of the top priorities for Africa by the recent
559 AFRICANESS workshop². Given the socioeconomic and GEC issues discussed above, the
560 food security situation is however particularly critical in Southern Africa; GEC is already
561 adding further stress to what is already a complicated food security situation for many
562 (Misselhorn, 2005).

563
564 GEC will undoubtedly affect productivity (i.e. yield per unit area). Potential impacts of
565 changes in the climate are well documented for regional crops (Fischer et al., 2001; Jones and

² AFRICANESS: African Earth System Science Conference, Nairobi, September 2005, organised by ESSP and ICSU and supported by NSF, NEPAD and NRF.

566 Thornton, 2003); and (to some extent) for livestock (Hanson et al., 1993) and marine fisheries
567 (Boyer et al., 2001; Bakun and Weeks, 2004). The impacts of GEC on maize production is
568 clearly of critical importance to the region, while other staples will not be as significantly
569 impacted (e.g. rice and wheat are mostly imported). A recent pan-African study of climate
570 change impact on African agriculture concluded that net farm incomes of African farmers are
571 highly vulnerable to climatic variables with estimated elasticity of response to unit degree
572 increase in temperature ranging from - 6 for livestock based farming system, -1.9 for dryland
573 crops and - 0.5 for irrigated crops (Kurukulasuriya et al., 2006). Ironically, but for very strong
574 agroecological and socio-cultural reasons, the more drought-resistant grains such as sorghum
575 and millet are the least important at the regional level, with production principally currently
576 confined to the semi-arid areas. Similarly, irrigation and managed water based farming
577 systems most resilient to temperature and precipitation changes are also the least developed
578 in Southern Africa. The potential direct impacts of GEC on the region's food production are
579 of concern for several reasons.

580
581 First is the prominent role of rainfed agriculture in the livelihoods of many people (Hulme,
582 1996; IPCC, 1998, 2001). Stige et al. (2006) suggest reduced maize production if the global
583 climate changes toward more El Niño-like conditions, as most climate models predict (maize
584 production in Southern Africa is strongly affected by El Niño events).

585
586 Second, soil degradation, already widespread, is rapidly eroding the capacity of the region's
587 ecosystems to support food production (USAID, 2003) and it anticipated to become more
588 severe with inappropriate technologies (Gregory et al.; 2002) and more prevalent with
589 expansion of agriculture into more marginal lands (Tyson et al., 2002).

590
591 Third, water resources are coming under ever greater pressure due to competing demands of
592 urbanisation, industry and agriculture. Amongst the basic premises made are that the
593 hydrological system amplifies any variability in climate, that different components of the
594 hydrological system differ markedly in their responses to rainfall variability, that streamflow
595 variability is considerably higher in external than in internal (mainstem) sub-catchments, that
596 land use change often increases flow variability and that degradation of the landscape
597 amplifies further any hydrological responses (Schulze, 2005).

598
599 Fourth, rangeland degradation due to inappropriate management is a growing concern and in
600 particular biodiversity loss. Climate change brings a further concern as this is also likely to
601 change the frequency, intensity, seasonality, and extent of vegetation fires that are critical to
602 the maintenance of major biomes of the region such as *miombo* woodlands and the *fynbos* of
603 the Cape (IPCC, 2001; 2002; Bond et al., 2003). Extreme events, particularly where these
604 occur in the form of above-average rainfall supporting large fuel loads, are likely to
605 culminate in fierce fires when immediately followed by periods of dry, hot and windy
606 weather (Rutherford et al., 1999). Changes in disturbances such as fires can interact with
607 climate change to affect biodiversity via for example, ecosystem switches from indigenous
608 vegetation communities to highly flammable fuels (Bond et al., 2003). This is likely to result
609 in loss of habitat and will threaten livelihoods dependent upon the associated biodiversity.

610
611 Rising poverty and food insecurity in Southern Africa demonstrated that resource endowment
612 is necessary but not sufficient condition for food security and prosperity. The rich
613 biodiversity in the region helps to support food security both through direct consumption, and
614 via income generated from tourism or commercialisation of *veld* products to buy food (Dube
615 and Sekhwela, in press). Widespread fire and deforestation of *Mophane* woodland for

616 example, is a threat to the reproductive capacity of *Mophane* caterpillar *veld* product, which
617 is a nutritious source of food and income over Botswana, Malawi, South Africa, Zimbabwe
618 and Zambia (Dube and Sekhwela, in press). The economic value of wild plants in Southern
619 Africa was calculated to be around US\$269 per household per year, with higher direct use
620 values (Shackleton and Shackleton, 2000). Biomass accounts for four-fifths of the total
621 energy consumption in the SADC region (Yirdaw, 1996). While conservation areas mostly
622 supports the predominantly nature based tourism industry which is considered a potential
623 future source of foreign exchange earnings for the region. Nevertheless, despite the potential
624 for abundance and diversity of food and livelihood systems suggested by the rich biodiversity
625 of its natural environment, the Southern African population remains poor and vulnerable to
626 high levels of food insecurity.

627
628 Even without the added GEC dimension, links between food security and environment are
629 therefore of great importance for Southern Africa. About 70% of the 200 million people in
630 the region rely on their immediate environment for food security, either from agricultural
631 activities and fisheries, or from *veld* products; and over 80% rely on biomass energy (Yirdaw,
632 1996). Despite this high reliance, high population growth (2.1% on average over 1990-2002;
633 SADC RISDP, 2004), low and volatile economic growth (3.2% in the period 1990-2002, with
634 a range from 1.5% in 1999 to a peak of 3.2% in 2002 (SADC RISDP., 2004) combined with
635 poor environmental management policies are resulting in the depletion of key land resources.

636
637 The additional concerns about possible GEC-induced losses in land cover, biodiversity and
638 freshwater supplies increase the uncertainty about both agricultural food production and
639 availability of *veld* products. There is a downward trend in *per capita* protein intake
640 (although this is lessened in part by a reliance on *veld* products such as insects, small animals
641 and birds; Scholes and Biggs, 2004). Natural and semi-managed systems are currently relied
642 upon as buffer in drought years (Hulme, 2004; Thomas and Twyman, 2005; Dube and
643 Sekhwela, in press). The region's rich biodiversity is under threat from increasing demand
644 due to rapid population growth, widespread poverty, commercialisation of natural resources
645 combined with poor land resource governance. Vegetation degradation reduces the value of
646 the region's natural resources for both *veld* food products and tourism, which both impact
647 negatively on livelihoods.

648
649 Despite the concerns reflected in the international GEC literature and from various UNEP
650 programmes, GEC is not a priority in regional or national development plans in Southern
651 Africa. With a few exceptions (such as DFID and USAID) GEC is rarely reflected in
652 development policies and plans addressing food issues. This is due to a number of factors: a
653 pre-occupation with responding to short-term priorities and emergencies; a lack of resources;
654 and limited appreciation of the significance of GEC for sustainable development, resulting
655 from lack of policy-relevant information on the interactive effect of GEC and food security
656 (Arntzen et al. 2004). Further, food issues have been treated in a fragmented manner by
657 different GEC international research initiatives.

658
659 The varied manifestations of GEC are not acting in isolation of one another, nor in isolation
660 of the socioeconomic stresses that increasingly affect the region. The interactive impacts of
661 these stresses will have far reaching consequences for the region's future food security.
662 Understanding how they interact with the food system is necessary to help devise more
663 effective and viable adaptation strategies which both boost socioeconomic development and
664 minimise further environmental degradation. This requires a better understanding of how
665 food systems operate in the region. It also requires recalling that food security involves

666 considerations of all aspects of the food system, not just food production and food
667 availability; food security is diminished when any aspect of the food system is stressed.

668

669

670 **6. Potential technical and policy approaches and constraints for enhancing Southern** 671 **African food security in relation to GEC**

672

673 A regionally-integrated and forward-looking food system strategy is needed that both
674 acknowledges present biophysical and policy opportunities and constraints in all three
675 components of food security (availability; access; and utilisation of food) and that recognises
676 potential future integrated scenarios of future socioeconomic and environmental conditions.
677 Arguably, a link can be described between all stresses and all components of food security if
678 one considers nth order interactions. “Mapping” first order interactions is however valuable in
679 helping to identify where adaptive strategies may best be targeted. Some examples are given
680 in Table 3.

681

682 *[Table 3: Impacts of example stresses on different aspects of Southern African food systems.]*

683

684 *Increasing food production*

685

686 Research investment is increasingly being targeted towards “technical” agronomic, options
687 for maintaining – and hopefully increasing – agricultural food production in the face of GEC.
688 Production increases can also be achieved by policy instruments such as water pricing (which
689 encourages water use efficiency). Another option is to introduce national grain marketing
690 boards (as seen in Zimbabwe before and after independence, Buckland, 1993) which – when
691 efficiently operated – offer producers a guaranteed market and minimum price thereby
692 encouraging increased production.

693

694 However, when implementing different technologies, and especially those aimed at
695 intensification, it is important to consider the environmental consequences of different
696 approaches; the degree of intensification (based largely on the quantity and efficiency of use
697 of external inputs) has different on- and off-site environmental consequences for soils, water
698 quantity and quality, and climate forcing and regional climate change (Gregory et al., 2002).
699 If sub-optimally managed, even a “low-tech” option such as changing crop can have
700 significant negative consequences. For instance, a number of countries in Southern Africa are
701 promoting roots and tubers as a drought adaptation strategy (IITA, 2004; Mharapara et al.,
702 2005). However, as many smallholder farmers consider that these crops do not require
703 additional nutrients, this form of adaptation is likely to aggravate the decline in soil fertility.

704

705 New technologies also often have social and/or economic constraints which need to be
706 considered. For instance, one of the major characteristics of early-maturing and high-yielding
707 hybrid crops such as maize (promoted to cope with drought and the low-production
708 constraints of local and other varieties) is increased demand for inputs. These are expensive
709 and inaccessible by the majority of smallholder farmers and many farmers recycle hybrid
710 seed and produce maize without application of fertilizer thereby missing much of the benefit
711 of the new technologies (Mharapara, et al., 2005).

712

713 Another approach is to develop policies that support greater reliance on *veld* products as
714 opposed to conventional agriculture. As noted above, *veld* products are a significant food
715 source, especially in times of stress, and most of the sources of such products are better

716 adapted to the climate than major crops (e.g. maize) and some might even be favoured under
717 climate change (Dube and Sekhwela, in press). This idea is developed further in Von Maltitz
718 et al. (in press), who argue that to protect biodiversity under climate change there will be a
719 need to focus on managing areas (such as rangelands) outside protected areas because this is
720 where greater diversity of species occurs (Scholes et al., 2004). This can be achieved through
721 devolution of resources ownership and management to communities, securing community
722 tenure rights and incentives for economies based on veld products as opposed to conventional
723 agriculture. Such an approach will facilitate species migration to track suitable habitats in
724 response to climate change which will be difficult to realise in protected areas without costly
725 interventions such as the establishment of corridors.

726
727 Food production is clearly important, but food storage and distribution, and exchange also
728 determine food availability.

729 *Improving food storage and distribution*

730
731 Food availability can be increased by having a sufficiently-large amount of food in storage to
732 offset the effects of, for instance, a drought year. However, due in part to poor transport and
733 communications infrastructure in the region, current food storage systems cannot hold
734 adequate food to compensate for time delays it takes to import food into the region. Damage
735 to infrastructure is expected to increase, as the existing infrastructure is not built to deal with
736 more frequent and severe floods. When damage is not repaired, the resulting impacts on food
737 distribution become substantial (particularly in times of stress, when it is most urgently
738 needed) and lasting. As Stilwell (2000) notes: “The relative abundance of land and low
739 population densities in Sub-Saharan Africa associated with highly scattered settlements result
740 in extremely high transportation and communications costs, which make isolation and
741 underdevelopment of rural communities inevitable. The problem is aggravated by low
742 maintenance standards due to limited budgets and the fact that budgets are spent on
743 emergency repairs rather than periodic maintenance”. A region-wide agreement on food
744 storage would be of great benefit but it would require considerable political and financial
745 commitment, and the pros and cons of a few large stores vs. many small stores would have to
746 be considered.

747 748 749 *Improving intra-regional trade*

750
751 An improved intra-regional trade arrangement would facilitate food exchange within the
752 region. Currently however, trade barriers and lack of harmonisation of trading systems are a
753 serious constraint to food movements across borders. These constraints include different tariff
754 structures, different physical transport requirements e.g. axle weight restrictions and vehicle
755 size restriction across borders. These and other non-physical constraints including lack of a
756 cohesive and harmonised set of trade policies add to the stressors in food system. The region
757 has great potential to meet its own food needs but one of the biggest stumbling blocks to
758 achieving this is lack of trust and meaningful cooperation among the SADC Member States.
759 The region is large and diverse enough to be a stand-alone economic zone and therefore
760 regionalisation provides a possible key to increasing resilience in the region’s food systems
761 and therefore food security.

762 763 *Improving food access and utilisation*

764

765 A range of technical and policy approaches therefore have been identified to adapt those parts
766 of the food systems that contribute to the food availability component of food security at
767 region-level, *vis.* production, distribution and exchange. Some are aimed at household and
768 plot levels, others at regional considerations. The primary challenge posed at both the scale of
769 natural resource management and at the scale of international agreements and actions is to
770 promote adaptive capacity in the context for competing sustainable development objectives.
771 The socioeconomic and environmental effectiveness and viability of these adaptation options
772 needs to be established and especially when they are implemented in combination (as is likely
773 to be necessary). Valuable though these might be, food security also depends on access to
774 food and food utilisation. Fewer adaptation possibilities have been considered to address
775 these aspects, despite the fact that there are several major food system stresses that have a
776 first-order impact on these components (Table 3). Some adaptation options fall into the
777 technical category, e.g. fortified crop varieties relating to nutrition, and issues relating to food
778 safety. Most however are more primarily related to either economic issues (e.g. affordability)
779 or social and political issues concerning the social function of food or food allocation.
780 Campaigns such as that to increase the production of more drought-tolerant roots and tubers
781 is being implemented without a thorough appreciation of food habits of the target
782 communities; maize has become the dominant source of food in Southern Africa to the extent
783 that alternative sources of nutrition such as cassava and sweet potatoes are treated as snacks.
784 Changing public attitudes to food preferences via media campaigns would be required (e.g.
785 accepting the more drought-tolerant roots or small grains over white maize) as part of an
786 overall adaptation strategy.

787
788

789 **8. The need for a regional policy perspective and associated research challenges**

790

791 The growing concern that GEC will further complicate achieving food security in Southern
792 Africa has been noted in a number of consultative meetings involving regional researchers,
793 policy makers and donors in the region (GECAFS, 2006). However, as outlined above, the
794 interactions among GEC and food systems are complex and need to be better analyzed to
795 assess the implications for food security in Southern Africa. There is also concern that
796 meeting the region's rising demand for food will further degrade the environment (Tyson et
797 al., 2002; Gregory et al., 2002) due to increased exploitation of land, water, plant and animal
798 resources, if careful and appropriate management is not in place (Scholes and Biggs, 2004).
799 This will likely, in turn, further undermine the food systems upon which food security is
800 based. Reversing this negative cycle is key to sustainable development in the region, but there
801 has to date been limited capacity to generate policy relevant information to address GEC
802 effects for development agendas.

803

804 Clearly the region's food production systems will need to change in alignment with the
805 anticipated changes in climate and other important environmental factors. As noted above,
806 many national, regional and international programmes are targeting this important – and
807 immediately obvious – research agenda. These efforts need to be complemented by
808 approaches which consider the larger set of interactions between GEC and the food system *as*
809 *a whole*. These will provide information to help decision makers and resource managers
810 develop policy and technical adaptation options which improve food security whilst
811 minimising further environmental degradation at a range of scales.

812

813 *Importance of a regional policy perspective*

814

815 Technical, agronomic adaptation strategies (e.g. development of heat tolerant crop varieties,
816 water-use efficiency strategies) are designed for application at the local level (albeit with
817 widespread adoption envisaged) and can be very effective given suitable local and regional
818 policy enabling environments. Many of the more economic- and policy-related strategies for
819 adapting the region's food systems may however be most effective if approached from the
820 perspective of the Southern African region as a whole. This is because food security planning
821 in the context of GEC can be particularly effective at this spatial scale. First, climate and
822 weather-related perturbations are often experienced at the sub-continental scale and
823 adaptation strategies may be applicable across more than one district or nation. Second, the
824 adaptation strategies themselves may prove most effective if managed at the regional level,
825 eg in terms of improved intra-regional trade, food storage and transport facilities. Third, some
826 environmental management issues only manifest at this spatial scale (eg water resource
827 depletion) and solutions to such problems may often require supra-national considerations.
828 Fourth, designing policies at this scale means that they can capitalise on the heterogeneity of
829 the region by balancing areas better endowed with natural or human resources with those less
830 well endowed; local-scale approaches are often constrained by the particular local conditions.
831 Fifth, there is already a mechanism in SADC to debate, devise and implement policy at the
832 regional scale and which can bring together planning at national level and help this to interact
833 with regional organisations addressing biodiversity conservation, human wellbeing, water
834 resources or local governance (e.g. ICLEI, 2006).

835

836 The possibilities of establishing strategic grain storage and reserves, and the development and
837 maintenance of infrastructure to reduce transport costs and food delivery time has been noted
838 above. A more radical option proposed by Arntzen et al. (2004) is the possibility of within-
839 region specialisation in food production and food trade. Southern Africa can be sub-divided
840 into a high(er) agricultural production potential area in the north and east and marginal
841 production areas in south central and west. Soils and water resources contribute to the
842 differences in potential, and GEC is expected to further polarise the differences. The south
843 central is expected to be negatively affected while the north and east may benefit from GEC.
844 As the GEC impacts on food production appear different and the growing gap in production
845 potential will necessitate stronger trade and transport links.

846

847 Whatever option (or combinations of options) are promoted, regional efforts require political
848 commitment from regional countries to design and implement the efforts.

849

850 *Research needs in the context of regional policy formulation*

851

852 There is a need to frame and execute research addressing both activities and outcomes of
853 food systems within a GEC context. A major emphasis of climate change/food security
854 research over recent years has addressed the agronomic aspects of climate change, and
855 particularly crop yield. This has provided an excellent foundation for assessments of how
856 climate change may affect crop productivity, but the connectivity between these results and
857 the broader issues of food security at large are relatively poorly explored; too often
858 discussions of food security policy appear to be based on a relatively-narrow agronomic
859 perspective. Further, while many natural science issues are already being addressed at the
860 regional scale (e.g. Tyson et al., 2002), social science theories, methods and data are often
861 better developed at the micro- and macro-scales (Rayner and Malone, 1998). Despite this
862 disparity, socioeconomic and biogeophysical factors need to be integrated within an
863 interdisciplinary research approach that recognises the interconnectivity between policy set?
864 at different spatial scales. Given the varied policy interests of regional and local stakeholders,

865 and recognising the complex spatial and temporal dynamics in the region, research needs to
866 recognise that some adaptation options (particularly in the policy domain) may be found by
867 considering the region as a whole while others are of a more local nature due to, for instance,
868 regional customs and practice, resources and attitudes. Some research issues are
869 methodological in nature, such as how the understanding of food system vulnerability
870 changes as different scales of analysis are employed. Others relate more to the nature of
871 adaptation, such as how adaptation strategies at regional level influence sub-regional food
872 security and local peoples' capacity to adapt; or how the outcomes for regional food security
873 would differ given sets of multi-scale policy responses under different scenarios.

874
875 Such studies need to build on the wealth of disciplinary studies which have characterised
876 most GEC and food-related research to date. These include, for example, studies on: GEC
877 impacts on agro-ecosystem productivity (eg Gregory et al., 1999; Fuhrer, 2003); GEC
878 impacts on regional production (eg Fischer et al., 2002; Jones and Thornton, 2003); societal
879 perceptions of GEC (eg Thompson and Rayner, 1998); vulnerability of agricultural systems
880 (eg O'Brien et al., 2004); seasonal forecasting (eg Colman et al., 2000); and the spatial scale
881 of climate information in analyses of climate change impacts (eg Mearns et al., 2001). New
882 research needs to build on and integrate such studies. It also needs to set new agendas
883 addressing emerging issues for interdisciplinary science related to food security and
884 sustainable development. This in turn requires a novel approach to organising research
885 (Quinlan and Scogings, 2004).

886
887 Apart from the disparity between natural and social science perspectives, the research
888 community faces several major scientific challenges in dealing with the interactions between
889 GEC and food security. Four issues are of particular interest because they set the context for
890 many researchable questions and will provide policy-relevant research insights. First is the
891 need to better understand what constitutes vulnerability to GEC in relation to food. This is
892 key to helping to determine where, when and which sections of society are most at risk, and
893 is especially necessary given problems of predicting global food production (Döös, 2002).
894 Second is the need to construct scenarios of future conditions that encapsulate the
895 socioeconomic and biogeophysical factors that determine food security. A recent review of
896 major scenario exercises has shown them to be deficient in many factors important for food
897 security considerations (Zurek, 2006). Third is the need to assess options for reducing the
898 vulnerability of food systems to GEC. Fourth is the need to understand how best to report and
899 communicate research results and so help devise improved policies to adapt food systems to
900 GEC.

901

902

903 **9. Conclusions**

904

905 Better governance in relation to GEC needs to be built on the three-way links between
906 science, policy and practice. This is particularly so for food security issues in Southern
907 Africa, where GEC is anticipated to have significant impacts. Policy, and particularly that
908 relating to a regional perspective, needs to be founded on innovative research that builds on,
909 and integrates the wealth of disciplinary studies and development projects both in the region
910 and internationally in the context of policy information needs. However, results from GEC
911 scientific endeavours have not, to date, often been adopted by the policy community. This is
912 because the GEC research agenda related to food security in Southern Africa (as elsewhere)
913 has not been well linked with the development agenda, despite the fact that development
914 goals and improved environmental management are often closely related.

915
916 GEC/food security research aimed at supporting policy development in Southern Africa must
917 provide practical assistance to evaluate options for reducing vulnerability of Southern African
918 food systems to GEC. It therefore needs to be aimed at assisting the region's policy makers
919 and planners to develop a better perspective on responses. This needs a strong participatory
920 process as the guiding philosophy at all stages of research planning. This is a valuable
921 awareness-raising exercise in its own right, but it also paves the way for fruitful collaboration
922 during the implementation phase. Research planning must therefore ensure that the wide
923 range of regional policy-making institutions, researchers and development practitioners
924 engaged in the planning stage continue to be involved in the research implementation and
925 policy development cycle. This will ensure timely and strategic feedback of scientific
926 research output to regional policy and planning activities.

927
928 Whether addressing food security issues related to food availability, food access or food
929 utilisation, resilience to the additional stresses GEC is bringing needs to be built
930 systematically into new projects and policies (Toulmin, 2005). Participatory research as
931 described will provide information to help decision makers and resource managers develop
932 improved policies and technical adaptation options which improve food security whilst
933 minimising further environmental degradation. It will also raise awareness of GEC issues in
934 the policy community, and help the research community better understand the information
935 needs, and constraints, of policy formulation. To be truly of mutual benefit, the agenda needs
936 to be addressed as a collaborative effort between social, economic and environmental
937 sciences and the policy and practice communities operating at a range of scales. Basing
938 dialogue on a regional scenarios approach would help achieve this.

939
940
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942
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1179 **Author summaries**

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1239

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1244 to support adaptation to global environmental change. She is based at the University of Cape
1245 Town and also employed by the Stockholm Environment Institute.

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Table 1: Key characteristics of subsistence and commercial producers.

	Subsistence producers	Commercial producers
Numbers	<ul style="list-style-type: none"> • Large 	<ul style="list-style-type: none"> • Small
Size of operations	<ul style="list-style-type: none"> • Small 	<ul style="list-style-type: none"> • Medium to large
Strategy	<ul style="list-style-type: none"> • A secure, diverse and improved livelihood through agricultural and non-agricultural activities. • Risk control and minimisation • The input allocation to food production depends on the opportunities. 	<ul style="list-style-type: none"> • Maximising income from producing food • Risk takers
Inputs	<ul style="list-style-type: none"> • Low external inputs • Operate usually on communal land systems, and holdings are not necessarily delineated or fenced off. 	<ul style="list-style-type: none"> • High level of external inputs • Usually on private/fenced land. • Commercial producers may also be found in communal lands, usually in fenced-off parts.
Type of products	<ul style="list-style-type: none"> • Multiple, used for own consumption 	<ul style="list-style-type: none"> • Few, specialised products for sale
Equipment	<ul style="list-style-type: none"> • Minimal 	<ul style="list-style-type: none"> • Mechanisation and intensification (e.g. irrigation)
Financial capital	<ul style="list-style-type: none"> • Minimal 	<ul style="list-style-type: none"> • High and access to credit
Practices	<ul style="list-style-type: none"> • Low-input low-output system • Simple practices aimed at diverse and secure yields • Competition for household inputs with non-agricultural sector 	<ul style="list-style-type: none"> • High-input, high-output system • Modern practices aimed at profit maximisation
Human resources	<ul style="list-style-type: none"> • Mostly indigenous agricultural/fisheries skills 	<ul style="list-style-type: none"> • Mostly modern agricultural/fisheries and management skills
Status	<ul style="list-style-type: none"> • Many are food insecure 	<ul style="list-style-type: none"> • Food secure, but profitability variable and dependent on government support
History	<ul style="list-style-type: none"> • Often disadvantaged (e.g. South Africa, Namibia and Zimbabwe) 	<ul style="list-style-type: none"> • Historically advantaged with access to best land, sufficient water resources and subsidies
Policies and politics	<ul style="list-style-type: none"> • Political and donor priority • Access and use of support is often limited • Need to improve agricultural capabilities and production 	<ul style="list-style-type: none"> • Reduced political power • Subject of substantial reforms (e.g. land, access to water, subsidy policies)

1249 **Table 2.** Major Southern African food transport corridors. Derived from SADC-FSTAU,
 1250 1993
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Coastal Country	Name of Corridor	Sea Port	Neighbouring Destinations	Distance to Destination (approx. Km)
Tanzania	Northern Corridor	Dar Es Salaam Port, Tanzania	Mbeya, Tanzania/Malawi	850 km
			Lusaka, Zambia	2050 km
Mozambique	Eastern I Corridor	Nacala Port, Mozambique	Blantyre, Malawi	820 km
			Lilongwe, Malawi	1020 km
	Eastern II Corridor	Beira Port, Mozambique	Harare, Zimbabwe	620 km
			Lusaka, Zambia	1110 km
			Blantyre, Malawi	1250 km
	Maputo Port, Mozambique	Harare, Zimbabwe	1270 km	
South Africa	Southern Corridor	Durban Port	Harare, Zimbabwe	2070 km
		East London	Harare, Zimbabwe	2370 km
		Port Elizabeth	Harare, Zimbabwe	2460 km
		Cape Town		2890 km
Namibia	Western I Corridor	Walvis Bay, Namibia	Livingston, Zambia	1700 km
Angola	Western II Corridor	Luanda Port	Malanje, Angola	350 km
		Namibe Port	Menogue, Angola	650 km
		Lobito Bay Port	Kuito, Angola	584 km

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Table 3: Impacts of example stresses on different aspects of Southern African food systems.

	Availability	Access	Utilisation
Climate variability	✓		
Water stress	✓		✓
Transport Infrastructure	✓	✓	
HIV/AIDS	✓	✓	✓
Monetary policies		✓	
Food Retailing Policies/Trends		✓	
Urbanisation/Migration		✓	
Information			✓
Unemployment		✓	✓
New Technology	✓		✓

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1257 **Figure 1:** The seven most frequently cited drivers in 49 studies of household-level food
 1258 insecurity in Southern Africa. The numbers in the arrows indicate the number of citations, as
 1259 a percentage of 555 citations of 33 possible drivers. The drivers shaded in grey were noted as
 1260 being chronic, while those in white indicate drivers experienced mainly as “shocks”. The
 1261 shaded arrows indicate drivers that acted primarily via reductions in food production, while
 1262 the white arrows indicate those which acted by restricting access to food. (From Scholes and
 1263 Biggs, 2004.)

